

**Format of holding of specified securities**

**Annexure - I**

**1 Name of Listed Entity : PARAGON FINANCE LTD.**

2 Scrip Code/Name of Scrip/Class of Security :

3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 30/09/2019**

4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	10	2464506	0	0	2464506	57.988	2464506	0	2464506	57.988	0	57.988	0	0.000	0	0.000	2464506
(B)	Public	1038	1785494	0	0	1785494	42.012	1785494	0	1785494	42.012	0	42.012	0	0.000	0	0.000	1683414
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>1048</b>	<b>4250000</b>	<b>0</b>		<b>4250000</b>	<b>100.000</b>	<b>4250000</b>	<b>0</b>	<b>4250000</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>4147920</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)/(X) as a %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
									Class x	Class y									Total
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family		5	744194	0	0	744194	17.510	744194	0	744194	17.510	0	17.510	0	0.000	0	0.000	744194
	GAYATRI GUPTA	ACGPG6699F	0	18800	0	0	18800	0.442	18800	0	18800	0.442	0	0.442	0	0.000	0	0.000	18800
	KRISHNA KANT JHA	AIWJP3557H	0	46194	0	0	46194	1.087	46194	0	46194	1.087	0	1.087	0	0.000	0	0.000	46194
	SANJAY KUMAR GUPTA	ABBP9970D	0	185100	0	0	185100	4.355	185100	0	185100	4.355	0	4.355	0	0.000	0	0.000	185100
	SANJAY KUMAR GUPTA	AAMAS5837J	0	315700	0	0	315700	7.428	315700	0	315700	7.428	0	7.428	0	0.000	0	0.000	315700
	SHREYA GUPTA	ADWPG8453G	0	178400	0	0	178400	4.198	178400	0	178400	4.198	0	4.198	0	0.000	0	0.000	178400
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		5	1720312	0	0	1720312	40.478	1720312	0	1720312	40.478	0	40.478	0	0.000	0	0.000	1720312
	BASERA ABASAN LLP	AASF9369D	0	234383	0	0	234383	5.515	234383	0	234383	5.515	0	5.515	0	0.000	0	0.000	234383
	KIT COMMERCIAL PRIVATE LIMITED	AABCK3346H	0	322700	0	0	322700	7.593	322700	0	322700	7.593	0	7.593	0	0.000	0	0.000	322700
	MAHANT VANIJYA PVT LTD	AACCM3148A	0	800429	0	0	800429	18.834	800429	0	800429	18.834	0	18.834	0	0.000	0	0.000	800429
	NAMAN BARTER PRIVATE LIMITED	AAACN8749C	0	172800	0	0	172800	4.066	172800	0	172800	4.066	0	4.066	0	0.000	0	0.000	172800
	PRAGMA ESTATES LLP	AAVFP3037F	0	190000	0	0	190000	4.471	190000	0	190000	4.471	0	4.471	0	0.000	0	0.000	190000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		10	2464506	0	0	2464506	57.988	2464506	0	2464506	57.988	0	57.988	0	0.000	0	0.000	2464506
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>10</b>	<b>2464506</b>	<b>0</b>	<b>0</b>	<b>2464506</b>	<b>57.988</b>	<b>2464506</b>	<b>0</b>	<b>2464506</b>	<b>57.988</b>	<b>0</b>	<b>57.988</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>2464506</b>

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		997	343643	0	0	343643	8.086	343643	0	343643	8.086	0	8.086	0	0.000	0	0.000	248263
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		7	424956	0	0	424956	9.999	424956	0	424956	9.999	0	9.999	0	0.000	0	0.000	424956
	SHAMBHU SHARMA [HUF]	AAFHS2867C	0	59450	0	0	59450	1.399	59450	0	59450	1.399	0	1.399	0	0.000	0	0.000	59450
	HARENDRA KUMAR TIWARI	AFEPT9286Q	0	60000	0	0	60000	1.412	60000	0	60000	1.412	0	1.412	0	0.000	0	0.000	60000
	HEERA SHARMA	ALGPS0169P	0	61450	0	0	61450	1.446	61450	0	61450	1.446	0	1.446	0	0.000	0	0.000	61450
	MANOJ GUPTA	ACGPG6700P	0	50891	0	0	50891	1.197	50891	0	50891	1.197	0	1.197	0	0.000	0	0.000	50891

	SANATAN SHARMA	AWDPS5080R	0	61450	0	0	61450	1.446	61450	0	61450	1.446	0	1.446	0	0.000	0	0.000	61450
	SHAMBHU SHARMA	ALGPS0522G	0	71265	0	0	71265	1.677	71265	0	71265	1.677	0	1.677	0	0.000	0	0.000	71265
	SWAROOP KUMAR SARAYAN	AJUPS9218D	0	60450	0	0	60450	1.422	60450	0	60450	1.422	0	1.422	0	0.000	0	0.000	60450
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		24	1010279	0	0	1010279	23.771	1010279	0	1010279	23.771	0	23.771	0	0.000	0	0.000	1005979
	BEEKAY CHEMICALS PRIVATE LIMITED	AACBC1883E	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	BHOWMICK MARKETING PRIVATE LIMITED	AACCB5011D	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	BRITE ASBESTOS AND ENGINEERING PRIVATE L	AACBC0950G	0	166815	0	0	166815	3.925	166815	0	166815	3.925	0	3.925	0	0.000	0	0.000	166815
	CRAWFORD PLANTATIONS PRIVATE LIMITED	AABCC0331Q	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	DOVER TIE-UP PVT.LTD.	AACCD2580P	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	SIPHON MARKETING PVT. LTD.	AAICS9382E	0	125000	0	0	125000	2.941	125000	0	125000	2.941	0	2.941	0	0.000	0	0.000	125000
	TOBOCK TRADE AND SALES PVT LTD	AAACT9203H	0	191000	0	0	191000	4.494	191000	0	191000	4.494	0	4.494	0	0.000	0	0.000	191000
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		2	1350	0	0	1350	0.032	1350	0	1350	0.032	0	0.032	0	0.000	0	0.000	1350
	NRI - Non-Repatriable		2	2400	0	0	2400	0.056	2400	0	2400	0.056	0	0.056	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memembers		6	2866	0	0	2866	0.067	2866	0	2866	0.067	0	0.067	0	0.000	0	0.000	2866
	IEPF Authority		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		1038	1785494	0	0	1785494	42.012	1785494	0	1785494	42.012	0	42.012	0	0.000	0	0.000	1683414
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>1038</b>	<b>1785494</b>	<b>0</b>	<b>0</b>	<b>1785494</b>	<b>42.012</b>	<b>1785494</b>	<b>0</b>	<b>1785494</b>	<b>42.012</b>	<b>0</b>	<b>42.012</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>1683414</b>



